

Flavell et al. (*Cancer Research*, 57:4824-4829, 1997). This rejection is respectfully traversed.

Mehta et al. teaches that retinoid-stimulated upregulation of CD38 antigen expression enhances the effectiveness of an anti-CD38-immunotoxin against cultured leukemia cells. Assuming *arguendo* that **Mehta et al.** suggests that approach may have clinical utility in the treatment of certain leukemias, **Mehta et al.** nevertheless contains no specific teachings regarding how this may be accomplished.

The Examiner argues that **Flavell et al.** teaches a method of treating a mouse carrying a human B-cell lymphoma through administration of an anti-CD38-saporin immunotoxin at a dosage falling within the range claimed by the instant invention. The Examiner's arguments notwithstanding, **Flavell et al.** in fact shows that the administration of a single anti-CD38-saporin was relatively ineffective in treating the animals. Only 10% of the animals treated with anti-CD38-saporin alone survived for the entire 300 day course of the experiment. Effective treatment was only obtained when the mice treated with a triple combination cocktail of anti-CD19, anti-CD22, and anti-CD38-saporin immunotoxins. In

contrast to the instant invention, **Flavell et al.** does not teach any methods of enhancing the expression of a target molecule on the surface of a tumor cell. Instead, **Flavell et al.** teaches that targeting multiple cellular markers enables the immunotoxins to reach cells which fail to express one or more of the target molecules and enables the delivery of additional toxin to multiple-target expressing cells via delivery of toxin by more than one conjugate. Furthermore, the teachings of **Flavell et al.** lean toward even more complicated cocktails of multiple immunotoxins rather than any method of enhancing the effectiveness of a single immunotoxin.

One of skill in the art would not have been motivated to combine the teachings of **Mehta et al.** and **Flavell et al.** as these references teach away from each other. **Mehta et al.** focuses on improving the effectiveness of single immunotoxin while **Flavell et al.** teaches that combinations of multiple immunotoxins are necessary for effective treatment. Nevertheless, even if the combination was contemplated by one of skill in the art, it would not have been obvious whether the retinoid-induced enhancement of CD38 expression would be sufficient to overcome the failure of treatment with anti-CD38 immunotoxin alone to cure the majority

of the mice. To establish this fact would require extensive experimentation such as that in the instant specification to demonstrate that the retinoid enhancement of CD38 expression was effective enough to enable a single anti-CD38 immunotoxin to kill all of the leukemia cells in a sample. Therefore, the Applicants respectfully request that the 35 U.S.C. §103(a) rejection of claims 1-3 and 7-11 over **Mehta et al.** in view of **Flavell et al.** be withdrawn.

Claims 1, 5-9 and 11 stand rejected under 35 U.S.C. §103(a) as unpatentable over **Mehta et al.** (Proceeding of the American Association for Cancer Research, 38:88, 1997) in view of **Flavell et al.** (Cancer Research, 57:4824-4829, 1997), in further view of **Mehta et al.** (Proceeding of the American Association for Cancer Research, 35:92, 1994). This rejection is respectfully traversed.

As argued above, **Mehta et al.** (1997) and **Flavell et al.** use methods for the treatment of lymphoma and leukemia that are sufficiently different so that the combination thereof would not have been obvious. **Flavell et al.** contains no teachings on methods to enhance the expression of a cellular target and required a combination of three separate immunotoxins, each against a

different cellular target, to cure all of the mice implanted with human lymphoma cells. Anti-CD38 immunotoxin treatment alone was ineffective in curing the mice of the implanted lymphoma cell lines, resulting in a 90% mortality rate over the course of the experiment. **Mehta et al.** (1994) merely reports that retinoic acid enhances expression of myeloid leukemia cells. Therefore, while **Mehta et al.** (1994) may teach all trans-retinoic acid dosages in the range of the instant invention, **Mehta et al.** (1994) provides no additional teachings on the use of retinoic acid to enhance the effectiveness of immunotoxin treatment. Therefore, the addition of **Mehta et al.** (1994) to the combination of **Mehta et al.** (1997) and **Flavell et al.** still provides no evidence that the combination of retinoid pre-treatment with a single component anti-CD38 immunotoxin will destroy enough of the targeted tumor cells to be effective as a leukemia and lymphoma treatment. Therefore, the Applicants respectfully request that the 35 U.S.C. §103(a) rejection of claims 1-3 and 7-11 over **Mehta et al.** (1997) in view of **Flavell et al.** in further view of **Mehta et al.** (1994) be withdrawn.

This is intended to be a complete response to the Office Action mailed December 7, 2000. Applicants submit that the

pending claims are in condition for allowance. If any issues remain,
please telephone the attorney of record for immediate resolution.

Respectfully submitted,

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A handwritten signature in cursive script, appearing to read "Benjamin Aaron Adler", written over a horizontal line.

Benjamin Aaron Adler, Ph.D., J.D.
Registration No. 35,423
Counsel for Applicant

ADLER & ASSOCIATES
8011 Candle Lane
Houston, Texas 77071
(713) 270-5391
badler1@houston.rr.com